## **EXHIBIT A**

#### SETTLEMENT AGREEMENT

This Settlement Agreement ("Agreement") is entered into on the date of execution between APB ASSOCIATES, INC. ("Plaintiff") on behalf of itself and a class of similarly-situated persons (identified and defined below as the "Settlement Class"), BRONCO'S SALOON, INC., BRONCO'S ENTERTAINMENT, INC., RIVER ENTERTAINMENT LLC, T&R ENTERPRISES, INC., and 31650 WEST EIGHT MILE, INC. ("Defendants"), including their present, former or future agents, insurers, representatives, employees, directors, officers, shareholders, attorneys, parents, subsidiaries and affiliates, and BADGER MUTUAL INSURANCE COMPANY ("Badger") and LLOYD'S UNDERWRITERS AT LLOYD'S LONDON ("Lloyd's"), including their present, former or future agents, insurers, representatives, employees, directors, officers, shareholders, attorneys, parents, subsidiaries and affiliates (collectively, the "Insurers"). Plaintiff, the Settlement Class, Defendants and the Insurers are collectively referred to as the "Parties."

WHEREAS, on behalf of itself and a putative class of similarly-situated persons, Plaintiff filed a civil action against Defendants that is pending in the United States District Court of the Eastern District of Michigan, entitled APB Associates, Inc., et al, individually and as the representative of a class of similarly situated persons v. Bronco's Saloon, Inc., Case No. 09-CV-14959 (the "Lawsuit"); and

WHEREAS, Plaintiff's Class Action Complaint alleges that Defendants violated the Telephone Consumer Protection Act (the "TCPA"), 47 U.S.C. §

227(b)(1)(C) and the FCC regulations by sending advertisements by facsimile without prior express invitation or permission; and

WHEREAS, without admitting or conceding fault or liability or the validity of Plaintiff's claims, point of law or point of fact, or that Plaintiff or any proposed Class Member is entitled to any relief because of Defendants' conduct, Defendants have agreed to settle all disputes regarding Defendants' faxes to the Settlement Class, including but not limited to all claims of the Settlement Class that were made or could have been made in the Lawsuit; and

WHEREAS, Badger issued a general liability policy that insured Defendants; BRONCO'S SALOON, INC., BRONCO'S ENTERTAINMENT, INC., and RIVER ENTERTAINMENT LLC and

WHEREAS, Lloyd's issued a general liability policy that insured Defendants;
T&R ENTERPRISES, INC. and 31650 WEST EIGHT MILE, INC. and

WHEREAS, without admitting or conceding fault, liability, or insurance coverage issues, the Insurers have agreed to settle the claims against Defendants by making a total of \$2,041,000.00 available to fund this settlement; and

WHEREAS, Class Counsel (identified below) have concluded that the terms and conditions of the Agreement provided herein are fair, reasonable, and adequate, and in the best interests of the Settlement Class as a means of resolving all disputes between and among the Parties; and

WHEREAS, the Settlement Class includes approximately 4,082 persons to whom Defendants sent unsolicited advertisements; and

WHEREAS, the Parties each acknowledge that they have not admitted liability to any other Party and enter into this Agreement solely to avoid the expense, uncertainty, and inconvenience of litigation; and

WHEREFORE, the Parties stipulate and agree that the claims of the Settlement Class should be and are hereby compromised and settled, subject to the Court's approval, upon the following terms and conditions:

- 1. <u>Recitals</u>. The above described recitals are incorporated herein and made a part hereof.
- 2. <u>For Settlement Only</u>. This Agreement resolves all disputes between Defendants, the Insurers, and Plaintiff and the Settlement Class. Assertions, statements, and representations herein are for settlement purposes only. If this Agreement or a modified version thereof that is acceptable to all Parties is not finally approved by the Court, then the Parties expressly agree that this Agreement is null and void and may not be used by any party for any reason.
- 3. <u>Certification of the Settlement Class</u>. The Parties hereby stipulate, for purposes of settlement only, to certification of a "Settlement Class" defined as follows: "All persons or entities who were sent faxes on February 27, 2006, that listed "Bronco Saloon," "Pony Express Saloon," "Mustang Inn," "Chix on Dix," and a "Complaint Hotline" number of (718) 360-1330, ext 232." Excluded from the Settlement Class are Defendants and the Insurers, including any of their parents, subsidiaries, affiliates or controlled persons, as well as their officers, directors, agents, servants, and employees, and the immediate family members of such

persons. In the event the settlement contemplated under this Agreement does not receive final approval from the Court, the fact that the Parties stipulated to a settlement class shall not be used by any Party either in support or opposition of class certification in the Lawsuit.

- 4. Representation of the Settlement Class. Plaintiff will be appointed as the "Class Representative" and attorneys Phillip A. Bock of Bock & Hatch, LLC and Jason J. Thompson of Sommers Schwartz, P.C. will be appointed as "Class Counsel."
- 5. <u>Preliminary Approval</u>. The Parties will jointly move the Court for the entry of an order preliminarily approving this settlement. A proposed "Order Preliminarily Approving Class Action Settlement" (the "Preliminary Approval Order") is attached as Exhibit 1. If the Court does not enter a preliminary approval order substantially in the form of Exhibit 1 or a modified version thereof which is acceptable to all Parties, then this Agreement shall be null and void.
- 6. <u>CAFA Notices</u>. Within ten (10) days after this Settlement Agreement is filed with the Court, Defendants' counsel will cause the notice of the settlement required by the Class Action Fairness Act, 28 U.S.C. 1717(b), to be issued to the Attorney General for the United States, as well as the Michigan Attorney General.
- 7. The Settlement Fund. The Insurers agree to make available a common fund of \$2,041,000.00.00 (the "Settlement Fund") to pay valid class member claims, to pay an incentive payment to the Class Representative, to pay attorney's fees and reasonable litigation expenses, not limited to costs, to Class Counsel, and to pay for

the costs and expenses associated with claims administration, as approved by the Court. Badger Mutual Insurance Company ("Badger") will be responsible for and pay seventy-five percent (75%) of the amount to be paid into the Settlement Fund and the Underwriters at Lloyd's, London ("Lloyd's") will be responsible for and pay twenty-five percent (25%) of the amount to be paid into the Settlement Fund. Each Insurer shall be responsible only for its specified percentage of the Settlement Fund and shall not be responsible to Plaintiff and to the Class for paying more than its specified percentage of the payments described herein. The Insurers need not segregate funds or otherwise create special accounts to hold the Settlement Fund, and will not relinquish control of any money until payments are due. Except for the agreed payments (approved claims of class members, to Class Counsel, or to the Class Representative), The Insurers will keep all unclaimed funds. Defendants and the Insurers shall not be responsible for any payments or obligations other than those specified in this Agreement.

8. Notice. The Parties will request that the Court approve a "Notice of Class Action and Proposed Settlement with Attached Claim Form" in the form attached hereto as Exhibit 2 (the "Notice"), and request approval to send notice to the Settlement Class by facsimile, and for any facsimile unsuccessful after three attempts then by regular U.S. Mail to the name and mailing address associated with the particular fax number as identified in the records of Defendants' original fax broadcaster (Business to Business Solutions) now possessed by Plaintiff's expert (Biggerstaff). After preliminary approval of the settlement, the Claims

Administrator will cause the Notice to be sent to the 4,082 fax numbers of the Settlement Class identified on Exhibit 4 of the Expert Report of Robert Biggerstaff dated January 14, 2010 (the "Database"). The Notice and Claim Form shall be sent within 7 calendar days of the entry of the preliminary approval order. The Notice and Claim Form will also be available at a website maintained by the Claims Administrator.

- 9. <u>Claim Forms</u>. Settlement Class members must submit fully completed and executed claim forms to receive payments from the Settlement Fund. Claim Forms will be due within 120 days after the Notice is sent to the Settlement Class (the "Claims Due Date"). Approved claims will be paid at \$500.00 or a lesser *pro rata* amount if the product of the amount of faxes claimed multiplied by \$500.00 exceeds the sum of the Settlement Fund minus payments made for attorney's fees and costs as approved by the Court and the payment made to the Class Representative. Any member of the Settlement Class who does not submit a Claim Form by the Claims Due Date, as shown by postmark or other identifiable date of transmission, shall receive no monetary payment from the Settlement Fund.
- 10. <u>Incentive Award, Attorney's Fees, and Out of Pocket Expenses</u>. Subject to the Court's approval, Defendants, by and through the Insurers, will pay Plaintiff \$15,000.00 from the Settlement Fund for representing the Settlement Class as the Class Representative. Subject to the Court's approval, Defendants and the Insurers will pay attorney's fees to Class Counsel in an amount equal to one-third of the Settlement Fund before any other deduction (\$680,333.33), plus reasonable out-of-

pocket expenses, all payable from the Settlement Fund. Defendants and the Insurers will not object to or oppose any of these payments. The awards will be set forth in the Final Approval Order and paid exclusively from the Settlement Fund as provided herein.

- 11. <u>Final Approval</u>. After, or as soon as practicable after, the close of the hearing on the fairness, reasonableness and adequacy of this Agreement, Plaintiff and Plaintiff's counsel shall request that the Court enter an order finally approving the settlement and all its terms in the form attached hereto as Exhibit 3 (the "Final Approval Order"). The fact that the Court may require non-substantive changes in the Final Approval Order will not invalidate this Agreement or the settlement. If the Court does not enter a final approval order substantially in the form of Exhibit 3 or a modified version thereof which is acceptable to all Parties, which becomes a final and non-appealable order, then this Agreement shall be null and void.
- 12. Effective Date. This Agreement shall not be effective until the Effective Date. "Effective Date" means the calendar date five days after the later of (a) the Court enters the Final Approval Order, substantially in the form of Exhibit 3 to this Agreement, or in a form agreed to by the Parties, dismissing with prejudice the claims of all Settlement Class members (including Plaintiff) who do not properly exclude themselves as provided in this Notice or (b) if any Settlement Class member objected to the settlement, the date on which the date for filing an appeal has expired or, if there are appeals, the date on which the settlement and judgment has been affirmed in all material respects by the appellate court of last resort to which

such appeals have been taken and such affirmances are no longer subject to further appeal or review.

- 13. <u>Claims Administrator</u>. Class-Settlements.com shall be the Claims Administrator and will issue the class notice, maintain the settlement website, assist class members in completing and submitting forms, receive the claim forms, and provide a list of approved and rejected claims to counsel for the parties. The Claims Administrator will provide copies of all approved claim forms to counsel for the parties. The decision of the Claims Administrator regarding the approval of claims shall be final and binding.
- the Claims Processing and Payment. Within either thirty (30) days after the Claims Due Date or on the Effective Date, whichever is later, Defendants and the Insurers shall deliver funds to the Claims Administrator to pay the claims. Checks issued to the Settlement Class members will be void 181 days after issuance and shall state that fact on their face. Any Settlement Class member who does not negotiate the settlement payment check issued to the Settlement Class member within 181 days of the date of the settlement check, agrees to forfeit and waive any right for compensation under the settlement. Within thirty (30) days after expiration of the 181 day period, all unclaimed money in the Settlement Fund shall revert to the Insurers and the balance remaining in the account established by the Claims Administrator to issue the settlement payment checks shall be disbursed and paid to the Insurers as follows: seventy-five percent (75%) to Badger and twenty-five (25%) to Lloyd's.

- Date, the Insurers shall pay from the Settlement Fund the attorney's fees, expenses, and incentive award approved by the Court in the Final Approval Order. Payment of the incentive award, attorney's fees, and expenses shall be made by wire transfer to the "Bock & Hatch, LLC Client Trust Account" pursuant to instructions to be provided to Defendants' Counsel.
- 16. Releases. Subject to and effective upon entry of the Final Approval Order, all Settlement Class members who are not validly excluded from the Settlement Class as determined by the Court, for and in consideration of the terms and undertakings herein, the sufficiency and fairness of which are acknowledged, release and forever discharge Defendants and the Insurers and their directors, officers, shareholders, employees, agents, insurers, re-insurers, affiliates, parent corporations, and other associated business entities and their successors and heirs (the "Releasees") from liability for any and all claims, demands, debts, liabilities, actions, causes of action of every kind and nature, obligations, damages, losses, and costs, which Plaintiff and the Settlement Class members have, had or may have against the Releasees, whether known or unknown, actual or potential, suspected or unsuspected, direct or indirect, contingent or fixed, or which have been, could have been, or in the future might be asserted against Releasees, that arise out of or relate to the advertisements faxed by or on behalf of Defendants at any time between four years prior to the date of the filing of this suit to and including the date of the filing of this suit (the "Released Claims"). The Settlement Class will not release claims

regarding any advertisements sent after execution of this settlement agreement.

- 17. Class Enjoined. On the Effective Date, all members of the Settlement Class who did not exclude themselves as required by the Notice (and any person or entity claiming by or through him, her, or it, as heir, administrator, devisee, predecessor, successor, attorney, representative of any kind, shareholder, partner, director or owner of any kind, affiliate, subrogee, assignee, or insurer) will be forever barred and permanently enjoined from directly, indirectly, representatively or in any other capacity, filing, commencing, prosecuting, continuing, litigating, intervening in, participating in as class members or otherwise, or receiving any benefits or other relief from any other lawsuit, any other arbitration, or any other administrative, regulatory, or other proceeding against Defendants or the Insurers about the Released Claims; and all persons and entities shall be forever barred and permanently enjoined from filing, commencing, or prosecuting any other lawsuit as a class action against Defendants or The Insurers (including by seeking to amend a pending complaint to include class allegations or by seeking class certification in a pending action in any jurisdiction) on behalf of Settlement Class members who have not timely excluded themselves from the Settlement Class if such other lawsuit is based on or arises from the Released Claims.
- 18. <u>Cooperation</u>. Plaintiff, Defendants, and the Insurers agree to cooperate fully to effect the consummation of this Agreement and to achieve the settlement provided for herein.
  - 19. Agreement Contingent Upon Entry of Final Approval Order. This

Agreement is contingent upon the Court's entry of an order substantially in the

form of Exhibit 3 or in some other form which is acceptable to all the Parties,

containing a judgment giving final approval to the terms of this Agreement, which

becomes a final and non-appealable order. If the Court refuses to grant final

approval of the terms of the settlement set forth herein, or if the Court's Final

Approval Order is reversed or substantially modified on appeal in a manner which

is not acceptable to all Parties, then this Agreement shall be null and void and no

stipulation, representation, or assertion of fact made in this Agreement may be used

against any Party. No Party to this Agreement, absent any substantive change by

the Court, shall appeal the approval of this Settlement Agreement by the Court.

20. <u>Notices</u>. Requests for exclusion, objections to the Agreement or

settlement, and notices to Plaintiff and the Settlement Class shall be sent to:

Phillip A. Bock

Bock & Hatch, LLC

Attn.: Broncos Settlement

134 N. La Salle St., Suite 1000

Chicago, IL 60602

Class Counsel shall provide copies of all requests for exclusion and objections to

Defendants, through their counsel, as follows:

John R. Prew

Harvey Kruse, P.C.

1050 Wilshire Dr., Suite 320

Troy, MI 48084

John F. Fleming

Kallas & Henk. P.C.

43902 Woodward Avenue, Suite 200

Bloomfield Hills, MI 48302

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- 21. <u>Court Submission</u>. Class Counsel and Defendants' counsel will submit this Agreement and the exhibits hereto, along with such other supporting papers as may be appropriate, to the Court for preliminary approval. If the Court declines to grant preliminary approval to the settlement and to order notice of hearing for final approval with respect to the proposed Settlement Class, or if the Court declines to grant final approval to the foregoing after such notice and hearing, this Agreement will terminate as soon as the Court enters an order unconditionally and finally adjudicating that this Agreement and settlement will not be approved.
- 22. <u>Integration Clause</u>. This Agreement contains the full, complete, and integrated statement of each term and provision agreed to by and among the Parties and supersedes any prior writings or agreements (written or oral) between or among the Parties, which prior agreements may no longer be relied upon for any purpose. This Agreement shall not be orally modified in any respect and can be modified only by the written agreement of the Parties supported by adequate consideration as confirmed in writing.
- 23. <u>Headings</u>. Headings contained in this Agreement are for convenience of reference only and are not intended to alter or vary the construction and meaning of this Agreement.
- 24. <u>Binding and Benefiting Others</u>. This Agreement shall be binding upon and inure to the benefit of the Parties, and to their respective agents, employees, representatives, trustees, officers, directors, shareholders, divisions, parent corporations, subsidiaries, affiliates, heirs, assigns, and successors in interest.

- 25. Warranties. The Parties each further represent, warrant, and agree that, in executing this Agreement, they do so with full knowledge all rights that they may have with respect to the claims released in this Agreement and that they have received independent legal counsel from their attorneys with regard to the facts involved and the controversy herein compromised and with regard to their rights arising out of such facts. Each of the individuals executing this Agreement warrants that he or she has the authority to enter into this Agreement and to legally bind the party(ies) for which he or she is signing.
- 26. <u>Governing Law</u>. The contractual terms of this Agreement shall be interpreted and enforced in accordance with the substantive law of the State of Michigan, without regard to its conflict of laws or choice of laws provisions.
- 27. <u>Mutual Interpretation</u>. The Parties agree and stipulate that the settlement was negotiated on an "arm's-length" basis between parties of equal bargaining power. This Agreement is not one of adhesion, is mutually created and no ambiguity shall be construed in favor of or against any of the Parties. The Settlement Class acknowledges, but does not concede to or agree with, statements by Defendants or Defendants' Insurer regarding the merits of the claims or class certification and Defendants and The Insurers acknowledge, but do not concede to or agree with, the Settlement Class's statements regarding the merits of the claims or class certification.
- 28. <u>Counterparts</u>. This Agreement may be executed in counterparts, each of which shall be deemed to be an original, and such counterparts together shall

constitute one and the same instrument. Signatures transmitted by facsimile or email are acceptable for the execution of this Agreement and shall be treated as if original signatures.

- 29. <u>Severability</u>. If any provision of this Agreement shall for any reason be held invalid, illegal, or unenforceable in any respect, such invalidity, illegality, or unenforceability shall not affect any other provisions if the Parties and their counsel mutually elect by written stipulation to be filed with the Court within twenty (20) days to proceed as if such invalid, illegal, or unenforceable provision had never been included in this Agreement.
- 30. Continuing Jurisdiction. Without affecting the finality of the final judgment, the parties will ask the Court to retain continuing jurisdiction over the Litigation and the Parties, including all members of the Settlement Class, the administration and enforcement of this Agreement and the settlement, and the benefits to the Settlement Class hereunder, regarding the implementation, enforcement, construction, and interpretation of this Agreement, the order preliminarily approving the settlement, and the Final Approval Order and final judgment. Any dispute or controversies arising with respect to the interpretation, enforcement, or implementation of the Agreement shall be presented by motion to the Court.

IN WITNESS WHEREOF, the Parties have caused this Agreement to be executed on the date set forth underneath their respective signatures.

#### BRONCO'S SALOON, INC.

held invalid, illegal, or unenforceable in any respect, such invalidity, illegality, or

unenforceability shall not affect any other provisions if the Parties and their counsel

mutually elect by written stipulation to be filed with the Court within twenty (20)

days to proceed as if such invalid, illegal, or unenforceable provision had never been

included in this Agreement.

30. Continuing Jurisdiction. Without affecting the finality of the final

judgment, the parties will ask the Court to retain continuing jurisdiction over the

Litigation and the Parties, including all members of the Settlement Class, the

administration and enforcement of this Agreement and the settlement, and the

benefits to the Settlement Class hereunder, regarding the implementation,

enforcement, construction, and interpretation of this Agreement, the order

preliminarily approving the settlement, and the Final Approval Order and final

judgment. Any dispute or controversies arising with respect to the interpretation,

enforcement, or implementation of the Agreement shall be presented by motion to the

Court.

IN WITNESS WHEREOF, the Parties have caused this Agreement to be

executed on the date set forth underneath their respective signatures.

BRONCO'S SALOON, INC.

DAN/DO

Bv

Its-

Dated

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BRONCO'S ENTERTAINMENT, INC.
By:
Its:
Dated:
RIVER ENTERTAINMENT LLC
Ву:
Its: manbly
Dated: 4/14/17
T&R ENTERPRISES, INC.
Ву:
Its: paculat
Dated: 4/14/17
31650 WEST AIGHT MILE, INC.
31630 W1232/14ch121 Managa, 2116.
Ву:
Its: previous
Dated: 4/14/17
BADGER MUTUAL INSURANCE COMPANY
By:
Its:
Dated:
LLOYD'S UNDERWRITERS AT LLOYD'S LONDON
By:
T+a'

By:
Its:
Dated:
BRONCO'S ENTERTAINMENT, INC.
By:
Its:
Dated:
RIVER ENTERTAINMENT LLC
By:
Its:
Dated:
T&R ENTERPRISES, INC.
By:
Its:
Dated:
31650 WEST EIGHT MILE, INC.
By:
Its:
Dated:
BADGER MUTUAL INSURANCE COMPANY
By:
Its: Vice President, Chains
Dated: <u>April 13, 201</u> 7

BRONCO'S ENTERTAINMENT, INC.
By:
Its:
Dated:
RIVER ENTERTAINMENT LLC
By:
Its:
Dated:
T&R ENTERPRISES, INC.
By:
Its:
Dated:
31650 WEST EIGHT MILE, INC.
By:
Its:
Dated:
BADGER MUTUAL INSURANCE COMPANY
By:
Its:
Dated:
LLOYD'S UNDERWRITERS AT LLOYD'S LONDON  By Dual Commercial LLC  By Jernes m Lintland General Counsel  Its: Overholder Authorized Representative
Its: Coverbolder two Many of Representative

## LLOYD'S UNDERWRITERS AT LLOYD'S LONDON

y:	
s:	

APB ASSOCIATES, INC. and the Class

By:

Phillip A. Bock Jason J. Thompson Class Counsel

## **EXHIBIT 1**

### IN THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF MICHIGAN

APB ASSOCIATES, INC., a Michigan corporation, individually and as the representative of a class of similarly situated persons,	) ) )
Plaintiff,	) No. 09-CV-14959
v.	) ) )
BRONCO'S SALOON, INC.,	)
BRONCO'S ENTERTAINMENT,	)
LTD., T&R ENTERPRISES, INC.,	)
RIVER ENTERTAINMENT LLC, and	)
31650 WEST EIGHT MILE, INC.	)
	)
Defendants.	)

#### ORDER PRELIMINARILY APPROVING CLASS ACTION SETTLEMENT

This matter coming before the Court on the parties' Agreed Motion for Preliminary Approval of Class Action Settlement and Notice to the Class (the "Joint Motion"), after review and consideration of the Settlement Agreement, and after hearing statements of the parties' attorneys in open court on \_\_\_\_\_\_\_\_, 2017, and having been fully advised in the premises, IT IS HEREBY ORDERED and adjudged as follows:

1. Pursuant to Rule 23 (e) of the Federal Rules of Civil Procedure, in light of the factual, legal, practical, and procedural considerations raised by this case, the settlement of this action, as embodied in the terms of the Settlement Agreement attached to the Joint Motion, is hereby preliminarily approved as a fair, reasonable, and adequate settlement of this case in the best interests of the Settlement Class. The Settlement Agreement is incorporated by reference into this Order (with

capitalized terms as set forth in the Settlement Agreement) and is hereby preliminarily adopted as an Order of this Court.

2. Pursuant to Rule 23 (b) (3) of the Federal Rules of Civil Procedure, by stipulation of the parties, and for the purpose of settlement, the Court hereby certifies the following class:

All persons or entities who were sent faxes on February 27, 2006, that listed "Bronco Saloon," "Pony Express Saloon," "Mustang Inn," "Chix on Dix," and a "Complaint Hotline" number of (718) 360-1330, ext 232.

The Court previously certified this class over Defendants' objection and now certifies it for settlement purposes only, by agreement of the parties. Excluded from the Settlement Class are Defendants and their Insurers, Badger Mutual Insurance Company ("Badger") and Lloyd's Underwriting at Lloyd's London ("Lloyd's") (collectively, the "Insurers"), including any and all parents, subsidiaries, affiliates or controlled persons of Defendants or the Insurers, as well as any of their officers, directors, agents, servants, and employees, and the immediate family members of such persons.

3. The Court finds that certification for purposes of settlement is appropriate because (a) the class is so numerous that joinder of all members is impractical; (b) there are questions of law and fact common to the class and they predominate over any questions affecting only individual class members; (c) Plaintiff's claims are typical of the claims of the class; (d) Plaintiff and its attorneys will fairly and adequately protect the interests of the class; and (e) a class action is the superior means of resolving this controversy.

- 4. The Court appoints APB Associates, Inc. as the representative of the Settlement Class pursuant to Rule 23 (a), and appoints APB's attorneys (Jason J. Thompson of Sommers Schwartz, P.C. and Phillip A. Bock of Bock & Hatch, LLC) as Class Counsel pursuant to Rule 23 (g).
- 5. The Court finds that the Settlement Agreement's plan for class notice is the best notice practicable under the circumstances and satisfies the requirements of due process and Rule 23 (e) (1) of the Federal Rules of Civil Procedure. That plan is approved and adopted. This Court further finds that the Class Notice (attached to the Settlement Agreement as Exhibit 2), and the Claim Form included as part of the Class Notice, comply with Rule 23 (e) (1) of the Federal Rules of Civil Procedure, are appropriate as part of the notice plan, and are approved and adopted. The Court orders that the parties provide the notice to the Class as proposed.
- 6. By this Order, the Court hereby orders that the Class Notice shall be sent by facsimile to the last known telephone fax number of each class member as reflected in the Database (Exhibit 4 to the Expert Report of Robert Biggerstaff dated January 14, 2010). If the notice cannot be successfully transmitted in three attempts, then it shall be mailed to the name and mailing address correlated with the fax number in the records of the original fax broadcaster (Business to Business Solutions). The Class Notice and Claim Form shall also be made available on the Claims administrator's website. The Court finds and orders that no other notice is necessary.

7. The Court hereby sets deadlines and dates for the acts and events set
forth in the Settlement Agreement and directs the Parties to incorporate the
deadlines and dates in the Class Notice:
(a) Objections and motions to intervene (including supporting
memoranda) shall be filed in this Court and postmarked and served on Class
Counsel and Defendant's counsel on or before, 2017, or be
forever barred;
(b) Requests by any Class member to opt out of the settlement must
be submitted on or before, 2017, or be forever barred;
(c) Defendants shall file proof of compliance with the notice
requirements of the Class Action Fairness Act of 2005, 28 U.S.C. § 1715(b)
no later than, 2017; and
(d) The Fairness Hearing, identified in the Class Notice, is hereby
scheduled for, 2017, at a.m./p.m. in Room
BY ORDER OF THE COURT
Honorable Sean Cox
Dated:

# **EXHIBIT 2**

This is a notice of a lawsuit settlement.

You may benefit from this. Please read it carefully.

You must submit a Proof of Claim (attached) to receive a settlement check.

### IN THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF MICHIGAN

APB ASSOCIATES, INC., a Michigan corporation, individually and as the representative of a class of similarly situated persons,  Plaintiff,	) ) ) ) ) No. 09-CV-14959
٧.	, )
BRONCO'S SALOON, INC., BRONCO'S ENTERTAINMENT, LTD., T&R ENTERPRISES, INC., RIVER ENTERTAINMENT LLC, and 31650 WEST EIGHT MILE, INC.	) ) ) ) )
Defendants.	<i>)</i> )

### NOTICE OF CLASS ACTION AND PROPOSED SETTLEMENT WITH ATTACHED CLAIM FORM

TO: All persons or entities who were sent faxes on February 27, 2006, that listed "Bronco Saloon," "Pony Express Saloon," "Mustang Inn," "Chix on Dix," and a "Complaint Hotline" number of (718) 360-1330, ext 232.

The Court ordered us to send this Notice because your fax number appeared on a list of fax numbers to which advertising faxes were sent and, therefore, you might be a member of the Settlement Class defined above. Please read the notice that follows.

#### A. WHAT IS THIS LAWSUIT ABOUT?

APB Associates, Inc. ("Plaintiff") filed this class action lawsuit against Bronco's Saloon, Inc., Bronco's Entertainment, Ltd., T&R Enterprises, Inc., River Entertainment LLC, and 31650 West Eight Mile, Inc. ("Defendants"), alleging that they violated the federal Telephone Consumer Protection Act ("TCPA") by faxing unsolicited advertisements. Defendants denied the allegations and raised defenses. The parties have agreed to settle all claims about advertising faxes sent by or on behalf of Defendants on February 27, 2006. This notice informs you of the rights of members of the Settlement Class defined above.

#### B. WHAT IS THE PROPOSED SETTLEMENT?

Defendants, by and through their insurers, Badger Mutual Insurance Company ("Badger") and Lloyd's Underwriting at Lloyd's London ("Lloyd's") (collectively, the "Insurers"), have created a total settlement fund of \$2,041,000.00 (the "Settlement Fund") to pay class member

claims, settlement administration costs, attorney's fees, costs, and expenses, and an incentive awards to Plaintiff. Each class member that submits a valid and timely claim will be paid the lesser of \$500.00 or a *pro rata* share of the Settlement Fund after those other payments.

The Court has preliminarily approved the proposed settlement, and certified the Settlement Class, defined above, subject to a final approval hearing that will occur on \_\_\_\_\_\_, 2017, at \_\_\_\_\_ a.m./p.m., in Room \_ in the United States District Court for the Eastern District of Michigan, Theodore Levin U.S. Courthouse, 231 W. Lafayette Blvd., Detroit, MI 48226.

#### C. WHAT ARE YOUR FOUR OPTIONS?

- 1. <u>Submit a Proof of Claim (attached) to receive a check</u>: You must submit a claim form postmarked, faxed, or electronically via **[website]** within one hundred twenty (120) days after this Notice is sent (on or before \_\_\_\_\_\_, 2017) to be eligible to receive a settlement check.
- **2. Do nothing:** You will be bound by the judgment and, if the Court approves the settlement, you will release your claims, but you will not receive a settlement check if you do not submit a Claim Form.
- 3. Opt out of the settlement: You have the right to exclude yourself from the class action and the settlement by mailing a written request for exclusion. Your request must be postmarked on or before \_\_\_\_\_\_\_, 2017, and it must list your name, fax number, street address, and the name and number of this case, and it must state that you wish to be excluded (for example, "Exclude me from the Bronco's Settlement."). Mail your exclusion request to Class Counsel at the address below. Class Counsel will then notify the Court of your request and provide Defendants attorneys a copy of the request for exclusion.

For Class Counsel:
Phillip A. Bock
Bock & Hatch, LLC
Attn.: Bronco's Settlement
134 N. La Salle St., Ste. 1000
Chicago, IL 60602

<u>Defendant's Attorneys:</u>
John R. Prew
Harvey Kruse, P.C.
1050 Wilshire Dr., Suite 320
Troy, MI 48084

John F. Fleming Kallas & Henk, P.C. 43902 Woodward Avenue, Suite 200 Bloomfield Hills, MI 48302

4. Object to the settlement: If you wish to object to the settlement rather than excluding yourself, you must file a written objection with the Civil Clerk's Office, United States District Court for the Eastern District of Michigan, Theodore Levin U.S. Courthouse, 231 W. Lafayette Blvd., Detroit, MI 48226. Your objection must be postmarked by \_\_\_\_\_\_\_, 2017, and must refer to the name and number of this case (APB Associates, Inc. v. Bronco's Saloon, Inc., Case No. 09-CV-14959). You must also serve copies of your objection on Class Counsel and Defendants'

attorneys (at the addresses above), postmarked by the same date. Your objection must include your name, fax number, street address, all attorneys who assisted you in the preparation and filing of your objection, a list of all other class action cases in which you or your counsel have filed objections to settlements, and a statement of the reasons why you believe the Court should find that the proposed settlement is not in the best interests of the Settlement Class. It is not sufficient to simply state that you object; you must state the reasons why you believe the settlement should not be approved.

#### D. <u>WILL THE COURT APPROVE THE SETTLEMENT?</u>

The Court will hold a final fairness hearing to decide whether to approve the settlement on \_\_\_\_\_\_, 2017, at \_\_\_\_\_\_ a.m./p.m., in Room \_\_\_\_\_ in the United States District Court for the Eastern District of Michigan, Theodore Levin U.S. Courthouse, 231 W. Lafayette Blvd., Detroit, MI 48226. At that hearing, the Court will hear any timely and properly-filed objections and arguments about the settlement. The hearing may be continued to a future date without further notice.

#### E. WHO REPRESENTS THE SETTLEMENT CLASS IN THIS LITIGATION?

Plaintiff APB Associates, Inc. is the class representative. The Court appointed Plaintiff's attorneys as Class Counsel. They are: Phillip A. Bock of Bock & Hatch, LLC and Jason J. Thompson of Sommers Schwartz, P.C. These attorneys have litigated this matter on a contingency basis. As part of the settlement, Class Counsel will request that the Court award Plaintiff an incentive award of \$15,000 for serving as the class representative and ask the Court to award Class Counsel attorney's fees equal to one-third of the settlement fund for their legal services (\$680,333.33), plus expenses also from the settlement fund. You will not have to pay any money to Class Counsel.

#### F. INQUIRIES:

If you have specific questions, you can write to Class Counsel at one of the addresses listed above. Include the case number, your name, your fax number, and your current street address on any correspondence. You may also call attorney Phillip A. Bock, one of the lawyers for the Class, at 312-658-5501.

Please do not contact the Clerk of the Court, the Judge, or the Judge's staff, because they cannot answer your questions or give you advice about this settlement.

BY ORDER OF THE COURT HONORABLE SEAN COX

## PROOF OF CLAIM APB Associates, Inc. v. Bronco's Saloon, et al, Case No. 09 CV 14959

Fax Number: <populated by administrator>

You Must Complete All **THREE** Steps to Claim a Share of the Settlement Fund:

Nam	e:
Com	pany:
Addı	ress:
City/	State/Zip Code:
	Number(s):
[List	All Numbers]
You	Must Verify Ownership of the Fax Number(s) Listed in #1 above:
"The	fax number(s) listed above was/were mine or my company's on February 27, 2006."
	(Sign your name here)
If the	e number was a company's number on February 27, 2006, please identify the company her
<b>X</b> 7	M4 D-4 41:- Cl-: F 1 [120 d] 2017.
You	Must Return this Claim Form by [120 days] , 2017:
(a)	Fax this Claim Form to: <fax claims="" for="" number=""></fax>
	Tax uns Claim Point to. <a href="mailto:lax number for Claims">lax number for Claims</a>
	$\underline{OR}$
(b)	
(b)	<u>OR</u>

# **EXHIBIT 3**

### IN THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF MICHIGAN

APB ASSOCIATES, INC., a Michigan corporation, individually and as the representative of a class of similarly	) ) )
situated persons,	)
Plaintiff,	) No. 09-CV-14959
v.	)
BRONCO'S SALOON, INC.,	)
BRONCO'S ENTERTAINMENT,	)
LTD., T&R ENTERPRISES, INC.,	)
RIVER ENTERTAINMENT LLC, and	)
31650 WEST EIGHT MILE, INC.	)
	)
Defendants.	)

#### FINAL APPROVAL ORDER

The matter coming before the Court on the request for final approval of the class action settlement by plaintiff, APB Associates, Inc. ("Plaintiff"), and defendants Bronco's Saloon, Inc., Bronco's Entertainment, Ltd., T&R Enterprises, Inc., River Entertainment LLC, and 31650 West Eight Mile, Inc. ("Defendants"), due notice given, the parties appearing through counsel, and the Court fully advised in the premises, IT IS HEREBY ORDERED:

- 1. This Court has jurisdiction over the parties, the members of the Settlement Class, and the claims asserted in this lawsuit.
- 2. Pursuant to Rule 23(e) of the Federal Rules of Civil Procedure, the settlement of this action, as embodied in the terms of the Settlement Agreement, is hereby finally approved as a fair, reasonable and adequate settlement of this case in the best interests of the Settlement Class in light of the factual, legal, practical and procedural considerations raised by this case.

- 3. The Settlement Class is defined as follows: "All persons or entities who were sent faxes on February 27, 2006, that listed "Bronco Saloon," "Pony Express Saloon," "Mustang Inn," "Chix on Dix," and a "Complaint Hotline" number of (718) 360-1330, ext 232." Excluded from the Settlement Class are Defendants and their Insurers, Badger Mutual Insurance Company ("Badger") and Lloyd's Underwriting at Lloyd's London ("Lloyd's") (collectively, the "Insurers"), including any and all of their parents, subsidiaries, affiliates or controlled persons of Defendants or the Insurers, as well as the officers, directors, agents, servants, and employees of Defendants or the Insurers and the immediate family members of such persons.
- 4. The Court finds that the Settlement Agreement was entered into in good faith following arm's length negotiations.
- 5. Upon the Declaration of \_\_\_\_\_\_\_, the Court finds that the notice provided to the Settlement Class Members was the best notice practicable under the circumstances and it satisfied the requirements of due process and Federal Rule 23(e)(1).
- 6. Upon the Affidavit of \_\_\_\_\_\_\_, the Court finds that notice has been given to the appropriate State and Federal officials in accordance with the Class Action Fairness Act, 28 U.S.C. § 1715.
  - 7. \_\_\_\_ objections were received. All objections are overruled.
- 8. The following persons requested exclusion from the Settlement Class and the settlement and are hereby excluded: \_\_\_\_\_\_.
- 9. After due consideration of, among other things, the uncertainty about the likelihood of: (a) the Class's ultimate success on the merits; (b) the range of the

Class's possible recovery; (c) the complexity, expense and duration of the litigation; (d) the substance and amount of opposition to the settlement; (e) the state of proceedings at which the settlement was achieved; (f) all written submissions, declarations and arguments of counsel; and (g) after notice and hearing, this Court finds that the settlement is fair, adequate and reasonable. This Court also finds that the financial settlement terms fall within the range of settlement terms that would be considered fair, adequate and reasonable. Accordingly, this Settlement Agreement should be and is APPROVED and shall govern all issues regarding the settlement and all rights of the Parties, including the Class Members. Each Class Member (including any person or entity claiming by or through him, her or it, but except those persons identified above who have previously excluded themselves from the Settlement Class) shall be bound by the Settlement Agreement, including being subject to the Releases set forth in the Settlement Agreement.

- 10. Defendants, by and through their Insurers, have created a settlement fund (the "Settlement Fund") to pay valid class member claims, class action settlement administration costs, attorney's fees, costs, and expenses, and an incentive award to Plaintiff as determined and awarded by this Court. Unclaimed monies in the Settlement Fund shall revert back to Defendants' Insurers.
- 11. As agreed in and subject to the Settlement Agreement, each member of the Settlement Class who submits a timely and valid Claim Form will be mailed a check not to exceed \$500 from the Settlement Fund. The Claims Administrator will cause those checks to be mailed after receiving the Settlement Funds. Checks

issued to the claiming Settlement Class members will be void 181 days after issuance.

- 12. As agreed between the parties, the Court approves Class Counsel's attorneys' fees in the total amount of \$680,333.33 plus out-of-pocket expenses (including payments to the claims administrator) in the amount of \_\_\_\_\_. Those amounts shall be paid from the Settlement Fund when this Final Approval Order becomes final as those terms are defined in the Settlement Agreement.
- 13. As agreed between the parties, the Court approves a \$15,000 incentive award to APB Associates, Inc. for serving as the Class Representative. In accordance with the Settlement Agreement, that amount shall be paid from the Settlement Fund when this Final Approval Order become final as those terms are defined in the Settlement Agreement.
- 14. The Court expressly adopts and incorporates herein all of the terms of the Settlement Agreement. The Parties to the Settlement Agreement shall carry out their respective obligations under that Agreement.
- 15. This action, including all claims against Defendants asserted in this lawsuit, or which could have been asserted in this lawsuit, by or on behalf of Plaintiff and all Settlement Class members against Defendants, is hereby dismissed with prejudice and without taxable costs to any party.
- 16. All claims or causes of action of any kind by any Settlement Class member or anyone claiming by or through him, her or it brought in this Court or any other forum (other than those by persons who have opted out of this action) are barred pursuant to the Releases set forth in the Settlement Agreement. All persons

and entities are enjoined from asserting any claims that are being settled or released herein, either directly or indirectly, against Defendants or their Insurers, in this Court or any other court or forum.

- 17. If (a) the Settlement Agreement is terminated pursuant to its terms, or (b) the Settlement Agreement or this Final Approval Order do not for any reason become effective, or (c) the Settlement Agreement or Final Approval Order are reversed, vacated or modified in any material or substantive respect, then any and all orders entered pursuant to the Settlement Agreement shall be deemed vacated. If the settlement does not become final in accordance with the terms of the Settlement Agreement, this Final Approval Order shall be void and be deemed vacated.
- 18. The Court retains jurisdiction for 180 days over this action, Plaintiff and all members of the Settlement Class, Defendants, and Defendants' Insurers, to determine all matters relating in any way to this Final Approval` Order, the Preliminary Approval Order, or the Settlement Agreement, including but not limited to, their administration, implementation, interpretation or enforcement. The Court further retains jurisdiction to enforce this Order.
- 19. The Court finds that there is no just reason to delay the enforcement of this Final Approval Order.

	BY ORDER OF THE COURT
Dated:	
	Honorable Judge Sean Cox